

07/13/99  
JCS98 U.S. PTO

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney Docket No. 1400.4100221 Total Pages 33  
First Inventor or Application Identifier Chan, et al  
Title METHOD AND APPARATUS FOR PROVIDING  
CONTROL INFORMATION IN A SYSTEM USING DISTRIBUTED  
COMMUNICATION ROUTING  
Express Mail Label No. EL454269613US

JCS98 U.S. PTO  
07/13/99  
09/352562

<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.	<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
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1. ☐ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification Total Pages 25  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the invention
  - Brief Summary of the invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure

3. ☒ Drawings (35 USC 113) Total Sheets 6
4. ☒ Oath or Declaration Total Pages 3

- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application  
(37 CFR 1.63(d))

(for continuation/divisional with Box 17 completed)

[Note Box 5 below]

- i. ☐ **DELETION OF INVENTOR(S)**

Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).

5. ☐ Microfiche Computer Program (Appendix)
6. ☐ Nucleotide and/or Amino Acid Sequence  
Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above  
copies

## ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 CFR 3.73(b) Statement ☐ Power of  
(when there is an assignee) Attorney
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure ☐ Copies of  
Statement (IDS)/PTO-1449 IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
13. ☐ Small Entity ☐ Statement filed in Prior  
Statement(s) Application, Status still  
proper and desired.
14. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
15. ☐ Other

16. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No:  
Prior Application Information: Examiner Group / Art Unit:

## 17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

or, ☒ Correspondence Address Below

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Name (Print/Type)	Paul M. Anderson	REGISTRATION NUMBER	39,896
Signature	<i>Paul M. Anderson</i>	Date	7/13/99

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Richard Chan, et al

Examiner:

Serial No:

Art Group:

Filing Date:

Docket No: 1400.4100221

Title: METHOD AND APPARATUS FOR PROVIDING CONTROL INFORMATION  
IN A SYSTEM USING DISTRIBUTED COMMUNICATION ROUTING

To the Honorable Commissioner  
of Patents and Trademarks  
Washington, D.C. 20231

CERTIFICATE OF EXPRESS MAILING

Exhibit **EL 454269613US**  
Date of Deposit: 7/13/99

Name of Depositor: Paul M. Anderson  
(print or type)  
Signature: *Paul M. Anderson*

I hereby certify that this paper and the items identified below are being deposited with the U.S. Postal Service "Express Mail Post Office to Addresses" service under 37 C.F.R. Section 1.10 on the 'Date of Deposit', indicated above, and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Items accompanying this Certificate of Express Mailing:

☐ Filing papers for a new patent application or PCT application entitled METHOD AND APPARATUS FOR PROVIDING CONTROL INFORMATION IN A SYSTEM USING DISTRIBUTED COMMUNICATION ROUTING invented by Richard Chan, et al and having a docket no. of 1400.4100221, such filing papers include:

- ☒ A specification and drawings for a new patent application;
- ☒ Transmittal cover letter;
- ☐ Assignment agreement;
- ☐ Assignment Cover Sheet;
- ☒ Combined Declaration and Power of Attorney;
- ☐ Statement of Small Entity Status;
- ☐ Information Disclosure Statement;
- ☐ Return upon receipt post card;

☐ Filing of a correspondence in an interference which an examiner-in-chief ordered to be filed by hand or "Express Mail";

☐ Filing of an agreement between parties to an interference under 35 U.S.C. 135 (c);

☐ Filing of correspondence in an international application before the U.S. Receiving Office, the U.S. International Searching Authority, or the U.S. International Preliminary Examining Authority;